



MANDATE INSTRUCTION FOR NACH/ ONE TIME MANDATE (OTM)

UMRN		F o r O f f i c e u s e										Date		D D M M Y Y Y Y					
Sponsor Bank Code		For Office Use Only										Utility Code		For Office Use Only					
I/We, hereby authorize		Union Mutual Fund										To debit [tick (✓)]		<input type="checkbox"/> SB <input type="checkbox"/> CA <input type="checkbox"/> CC <input type="checkbox"/> SB-NRE <input type="checkbox"/> SB-NRO <input type="checkbox"/> Other					
Bank a/c number																			
with Bank		Name of Customer's Bank										IFSC		or MICR					
an amount of Rupees		in words										₹		in figures					
FREQUENCY		<input checked="" type="checkbox"/> Daily <input checked="" type="checkbox"/> Weekly <input checked="" type="checkbox"/> Monthly <input checked="" type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Half Yearly <input checked="" type="checkbox"/> Yearly <input checked="" type="checkbox"/> As & when presented										DEBIT TYPE		<input checked="" type="checkbox"/> Fixed Amount <input checked="" type="checkbox"/> Maximum Amount					
Reference 1		Folio No.										Phone No.							
Reference 2		Application No.										Email ID							
I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of bank. This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorizing Union Mutual Fund to debit my account based on the instructions as agreed and signed by me. I have understood that I am authorised to cancel/amend this mandate by appropriately communicating the cancellation/amendment request to Union Mutual Fund.																			
PERIOD												Signature Primary Account Holder		Signature of Account Holder		Signature of Account Holder			
From		D D M M Y Y Y Y										Name as in bank records		Name as in bank records		Name as in bank records			
To		D D M M Y Y Y Y										Name as in bank records		Name as in bank records		Name as in bank records			
Maximum period of validity of this mandate is 40 years only.																			

SYSTEMATIC INVESTMENT PLAN (SIP) - AUTO DEBIT FORM (For Existing Unit Holders only)



Registration Cum Mandate Form For NACH/ ECS/ Direct Debit

(Please complete the relevant section legibly in black / dark coloured ink and in BLOCK LETTERS.)

Broker Code/ ARN		Sub-Broker ARN/ Branch Code		Internal Sub-Broker Code		EUIIN* (Refer Section '12' of instructions)		RIA Code / PMRN**		Ref. No.	
○ *I/We hereby confirm that the EUIIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.											
**By mentioning the RIA Code/ PMRN, I/we hereby give my/our consent to share/provide the transactions data feed / unit holdings in respect of my/our investments under Direct Plan in the Scheme(s) of Union Mutual Fund with the SEBI Registered Investment Adviser/ SEBI registered Portfolio Managers.											
Signature Sole/ First Applicant/ Guardian/ POA/ Authorised Signatory				Signature Second Applicant/ POA/ Authorised Signatory				Signature Third Applicant/ POA/ Authorised Signatory			
1. EXISTING UNIT HOLDER INFORMATION [Please tick (✓)]		○ Mr. ○ Ms. ○ M/s.		PAN						○ KYC	
Folio No.		Unit holder's Name									
2. UNITHOLDING OPTION [Please tick (✓)]		○ Physical Mode ○ Demat Mode (If demat account details are provided below, units will be allotted by default in electronic mode only)									
Depository Participant (DP) Name		DP ID No:		I N		Beneficiary Account Number					
3. SIP DETAILS [Please tick (✓)]		Please allow minimum 30 days for registration and start of Auto Debit instructions.								*Default	
Scheme/ Plan/ Option		SIP Amount (In figures)		Frequency#		SIP Date# (For Monthly Frequency)		SIP Date# (For Fortnightly Frequency)		SIP Day# (For Weekly Frequency)	
				<input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly		D D		1 st and 15 th of the month		Start & End (Day/Month/Year)	
										Start <input type="text"/> End <input type="text"/>	
First SIP Installment details		Drawn on bank / branch name									
Cheque Amount		Cheque no.				MICR No.				Dated D D M M Y Y	
Payment Mode		○ Cheque ○ RTGS ○ NEFT ○ Fund Transfer ○ Debit Mandate (Union Bank of India A/C Holders only) ○ One Time Mandate (OTM)									
^ Daily Frequency is applicable to all schemes except Union Liquid Fund, Union Money Market Fund and Union Overnight Fund. # In case the chosen date/day falls on a Non-Business Day or on a date which is not available in a particular month/week, the SIP will be processed on the immediate next Business date/day. The SIP frequency will be daily ^ (i.e. Business Days), weekly, fortnightly, and monthly. In case none of the frequencies have been selected then Monthly Frequency shall be treated as the default frequency, provided the requirement relating to minimum installment size for monthly frequency is fulfilled. In case the SIP day/ date is not indicated, the default SIP day will be Wednesday for Weekly Frequency, 1st and 15th of the month for Fortnightly Frequency and 8th of every month for Monthly Frequency \$ Period: Start date and End Date of NACH registration (in format DD/MM/YYYY). Maximum period of validity of this mandate is 40 years only.											
4. DECLARATION & SIGNATURES											
I/We hereby agree to have read and understood the SAI, SID, KIM including the provisions with regard to payment of transaction charges. I/We hereby express my/our willingness to make payments towards SIP instalments as mentioned herein. If the transaction is delayed or not effected for reasons of incomplete/incorrect information, I / we would not hold the user institution responsible. Further, I/we authorize the representative (the bearer of this request) to get the Mandate herein verified. Mandate verification charges, if any, may be charged to my/our account. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme(s) are being recommended to me/ us. I/ We hereby confirm that Union Mutual Fund (formerly Union KBC Mutual Fund) the AMC and its empanelled broker(s) has not given any indicative portfolio and indicative yield, in any manner whatsoever. I/ We hereby confirm that at the time of investment, I / we have the express authority to invest in units of the Scheme(s); and Union Mutual Fund / AMC / Trustee will not be responsible if such investment is ultra vires the relevant constitution. Applicable to Micro Investments only: I/we do not have any existing Micro Investments which together with the current application will result in aggregate investments exceeding ₹ 50,000 in a year. Applicable to NRIs only: I/We confirm that I am / we are Non-Resident(s) of Indian Nationality / Origin and I/we hereby confirm that the funds for subscriptions have been remitted from abroad through normal banking channels or from fund in my/our Non Resident External / Ordinary account/ FCNR account(s).											
Signature Sole/ First Applicant/ Guardian/ POA/ Authorised Signatory		Signature Second Applicant/ POA/ Authorised Signatory		Signature Third Applicant/ POA/ Authorised Signatory							

5. DEBIT MANDATE (Lumpsum Investment) (For Union Bank of India account holders)		Application No.	
To be detached by the Registrar (CAMS Ltd.) and presented to Union Bank of India.			
To Branch Manager - Union Bank of India		Date _/ _/ _	
I / We _____			
authorise you to debit my / our Account No.		Type of Account _____	
₹ (in figures)		₹ (in words)	
pay for the purchase of units of Union _____		Signature of Account Holder(s) / Authorised Signatory(ies) (As per Bank records)	

ACKNOWLEDGEMENT SLIP (To be filled in by the investor)

SIP through Auto Debit Form - Folio No. _____		Application No. _____	
Received from: Mr./ Ms. /M/s _____		Dated _/ _/ _ Scheme 1 _____ Amount (₹) _____	

INSTRUCTIONS FOR COMPLETING SIP AUTO DEBIT FORM

1. Please read the Scheme Information Document (SID), Statement of Additional Information (SAI) and Key Information Memorandum (KIM) and relevant addenda, if any, of the respective schemes carefully before investing. All applicants are deemed to have read, understood and accepted the terms subject to which this offer is being made and bind themselves to the terms upon signing the application form and tendering payment.
2. PAN is a mandatory requirement for all investors for investing in the mutual fund, except for Micro Investments and investors from Sikkim. Micro Investments exemption will be applicable only to investments by individuals [including NRIs but excluding Persons of Indian Origin (PIOs)], minors, sole proprietary firms and to investments made by joint holders.
3. KYC Compliance is mandatory for all investors, irrespective of the amount of investment.
4. Please furnish the existing folio number, name and PAN details of sole/first unit holder in section 1 of this form.
5. Please furnish/indicate the following details with respect to systematic investment:-
 - a. Scheme/Plan/Option/Facility and Income Distribution cum Capital Withdrawal (IDCW) frequency, wherever applicable.
 - b. Investors are requested to refer to the SID/ KIM for minimum application amount applicable for SIP investment.
 - c. Investors can choose any preferred date of the month as SIP debit date. In case the chosen date falls on a Non-Business Day or on a date which is not available in a particular month, the SIP will be processed on the immediate next Business Day.
 - d. There should be a minimum gap of 30 days from the date of submission of SIP application for SIP Auto Debit to start.
 - e. Each of the instalments under an SIP (excluding the initial cheque) should be of the same amount and date.
 - f. In case the chosen date/day falls on a Non-Business Day or on a date which is not available in a particular month/week, the SIP will be processed on the immediate next Business day/day.
The SIP frequency will be daily (i.e. Business Days), weekly, fortnightly, and monthly.
In case none of the frequencies have been selected then Monthly Frequency shall be treated as the default frequency, provided the requirement relating to minimum installment size for monthly frequency is fulfilled.
In case the SIP day/ date is not indicated, the default SIP day will be Wednesday for Weekly Frequency, 1st and 15th of the month for Fortnightly Frequency and 8th of every month for Monthly Frequency. Scheme name: same as mentioned on the 1st cheque, SIP amount: same as mentioned on the 1st cheque (applicable when this form is submitted with common investment application form and cheque).
6. Micro investments application will be rejected by the AMC where it is found that the registration of the SIP will result in the aggregate of investments in a rolling 12 months period or in a financial year exceeding ₹ 50,000/-. Micro SIP is allowed only for those who do not have PAN.
7. It is mandatory to submit the copy of cancelled cheque leaf (issued by the bank branch mentioned overleaf) along with this Auto Debit Form.
8. Declaration & Signatures: This section needs to be signed by the applicant(s)/ unit holder(s) at the places marked as per the mode of holding recorded with us (i.e. 'Single', 'Anyone or Survivor' or 'Joint').
9. In case of three consecutive failures due to insufficient balance in bank account while processing request for an SIP, Union Mutual Fund shall reserve the right to terminate the SIP without any written request from the investor.
10. The payment towards investment can happen only from the bank account of 1st holder and therefore the 1st holder needs to be a holder in the bank account. Third Party Payments are not accepted except in certain cases. For further details, refer the point on "Non-Acceptance of Third Party Payment Instruments for subscription/ investments of units" in the SAI.
11. **DEDUCTION OF TRANSACTION CHARGE FOR INVESTMENTS THROUGH EMPANELLED DISTRIBUTORS OF THE FUND:**
With effect from April 01, 2024, no transaction charges will be deducted from the investment amount for transactions/applications received through distributors (i.e. under Regular Plan) and full subscription amount will be invested in the Schemes, subject to the statutory levies.

12. EMPLOYEE UNIQUE IDENTIFICATION NUMBER (EUIIN):

As per SEBI Circular No. CIR/IMD/DF/21/2012 dated September 13, 2012 and AMFI Guidelines on implementation of EUIIN, it is mandatory to state the Employee Unique Identification Number (EUIIN) of the employee/ relationship manager/ sales person of the distributor interacting with the investor for the sale of mutual fund products, in addition to the AMFI Registration Number (ARN) of the distributor in the space indicated in the application form. **In case the EUIIN box is intentionally left blank in the absence of any client facing interaction, then it is required to mandatorily tick against the confirmation/declaration stating that the transaction is an "execution-only" transaction, mentioned below the box/space provided for the ARN Number/ EUIIN in the application form and also provide signature(s) in the signature pane appearing just below the confirmation/ declaration.** The mentioning of the EUIIN shall assist the AMC to tackle the problem of mis-selling by the distributors/its employees/ relationship manager/ sales person.

13. Terms and Conditions for One Time Mandate (OTM) Registration:

- i. Investment through NACH (National Automated Clearing House) / ECS / Direct Debit is offered to investors having bank accounts in selected bank / cities where they have an account or located currently.
- ii. The list of such banks may be modified/ updated at any time in future entirely at the discretion of Union Mutual Fund without assigning any reasons or prior notice.
- iii. The investor agrees to abide by the terms and conditions of NACH facility of National Payments Corporation of India (NPCI). The investor assumes the entire risk of using the Auto Debit Facility and takes full responsibility for the same. Investor will not hold Union Mutual Fund, its registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles of NACH Debit/Auto Debit/ECS.
- iv. Union Mutual Fund reserves the right to reverse allotments in case the Auto debit is rejected by the bank for any reason whatsoever.
- v. By submitting the Auto Debit mandate the investor authorizes Union Mutual Fund to utilize the information provided herein for the purpose of investor's investments in the Mutual Fund, including creation of a folio.
- vi. Investors can choose any preferred date of the month as SIP debit date. In case the chosen date falls on a Non-Business Day or on a date which is not available in a particular month, the SIP will be processed on the immediate next Business Day.
- vii. Investors are required to ensure that there are adequate funds in their bank account on the date of investment transaction. Union Mutual Fund will endeavor to debit the investor bank account on the date of investment transaction, however if there is any delay all such transactions will be debited subsequently.
- viii. SIP cancellation can be done separately by submitting the request atleast 15 Business Days in advance; however the associated mandate can be retained for future investments.
- ix. Lumpsum Investment / SIP instalments in a day should be less than or equal to the maximum amount as mentioned in the Mandate Instruction.
- x. The enrolment period i.e Start and End Month/ Year specified for the SIPs should be less than or equal to the enrolment period mentioned in the Mandate Instruction.
- xi. Investments made through the One Time Mandate (OTM) Mode are subject to realization of funds from investor's bank account and the NAV guidelines will be applicable for the transactions.
- xii. Following fields need to be filled mandatorily:-
 - a. Date in format DD/MM/YYYY
 - b. Bank A/c Type: Tick the relevant box
 - c. Bank Account Number (Investor's bank account number)
 - d. Name of Destination Bank (Investor's bank)
 - e. IFSC / MICR code
 - f. Mention Maximum Amount such that the total of all SIP instalments in a day should be less than or equal to the Maximum Amount.
 - g. Reference 1: Mention Folio Number
 - h. Reference 2: Mention Application No.
 - i. Phone No. (Optional)
 - j. Email ID (Optional)
 - k. Period: Start date and End Date of NACH registration (in format DD/MM/YYYY). **Maximum period of validity of this mandate is 40 years only.**
 - l. Signature as per bank account records
 - m. Name: Mention Bank Account Holder Name as per bank records

SIP Snapshot- Frequency, Minimum Amount and Minimum Period.

SIP Frequency	Minimum SIP Amount	Minimum Period	Default Date/Day
Daily ^	₹ 100 and in multiples of ₹ 1 thereafter	6 Days	Daily (i.e. Business Days)
Weekly	₹ 500 and in multiples of ₹ 1 thereafter	6 Weeks	Wednesday
Fortnightly	₹ 500 and in multiples of ₹ 1 thereafter	6 Fortnights	1st and 15th of the month
Monthly	₹ 500 and in multiples of ₹ 1 thereafter	6 Months	8th of the month

Note:

- ^ Daily Frequency is applicable to all schemes except Union Liquid Fund, Union Money Market Fund and Union Overnight Fund.
- In case none of the frequencies have been selected then Monthly Frequency shall be treated as the default frequency.

STAY CONNECTED WITH US

Give a missed call from your registered mobile number on **08010421326** and get an Account Statement via SMS.

 Call Centre Telephone: 022 67483333 Toll Free number: 1800 200 2268 / 1800 572 2268	 Email ID investorcare@unionmf.com	 Online Chatbot
	 Website www.unionmf.com	 WhatsApp 93214 03687

Please address all future communication(s) in connection with this application to the Registrar & Transfer Agent of the Scheme:

Computer Age Management Services Ltd.,

Unit: Union Mutual Fund, Rayala Tower 2, 5th Floor, # 158 Anna Salai, Chennai - 600002.

Email: enq_uk@camsonline.com | Website: www.camsonline.com

Union Asset Management Company Pvt. Ltd.

Unit 503, 5th Floor, Leela Business Park,

Andheri Kurla Road, Andheri (East), Mumbai - 400059.

Toll Free : 1800 200 2268/18005722268 | Tel No. : 022 67483333

Website: www.unionmf.com | Email : investorcare@unionmf.com

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